

# EXHIBIT 7

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(12) **United States Patent**  
**Heller et al.**(10) **Patent No.:** **US 6,329,161 B1**  
(45) **Date of Patent:** **Dec. 11, 2001**(54) **SUBCUTANEOUS GLUCOSE ELECTRODE**

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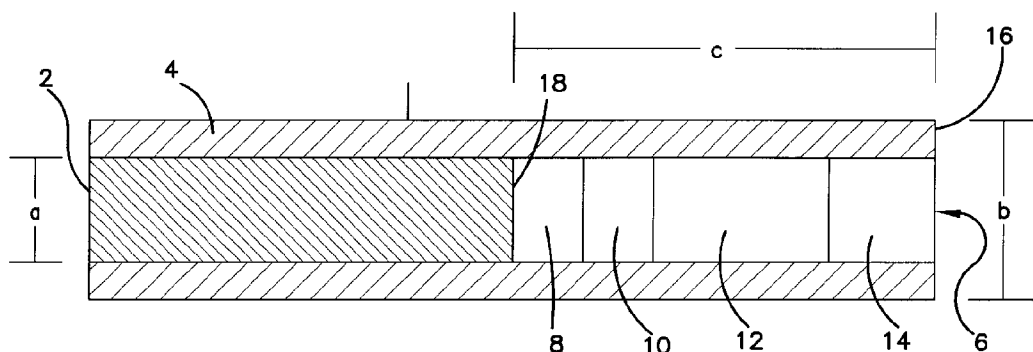
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(73) Assignee: **TheraSense, Inc.**, Alameda, CA (US)**FOREIGN PATENT DOCUMENTS**( \* ) Notice: Subject to any disclaimer, the term of this  
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(21) Appl. No.: **09/668,221**(22) Filed: **Sep. 22, 2000****OTHER PUBLICATIONS****Related U.S. Application Data**(63) Continuation of application No. 09/477,053, filed on Jan. 3,  
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(51) **Int. Cl.**<sup>7</sup> ..... **C12Q 1/54**; C12Q 1/28;  
C12Q 1/32*Primary Examiner*—Louise N. Leary(74) *Attorney, Agent, or Firm*—Merchant & Gould P.C.(52) **U.S. Cl.** ..... **435/14**; 435/28; 435/26;  
435/24; 435/288; 435/291; 204/403; 204/157.1;  
204/153.1(57) **ABSTRACT**(58) **Field of Search** ..... 435/14, 28, 26,  
435/24, 288, 291; 204/403, 157.15, 153.1A small diameter flexible electrode designed for subcutaneous  
in vivo amperometric monitoring of glucoses is described.  
The electrode is designed to allow "one-point" in vivo  
calibration, i.e., to have zero output current at zero  
glucose concentration, even in the presence of other electro-  
reactive species of serum or blood. The electrode is  
preferably three or four-layered, with the layers serially  
deposited within a recess upon the tip of a polyamide  
insulated gold wire. A first glucose concentration-to-current  
transducing layer is overcoated with an electrically insulating  
and glucose flux limiting layer (second layer) on which,  
optionally, an immobilized interference-eliminating horse-  
radish peroxidase based film is deposited (third layer). An  
outer (fourth) layer is biocompatible.(56) **References Cited****U.S. PATENT DOCUMENTS**Re. 32,947 6/1989 Dorner et al. .... 435/14  
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Page 2

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# EXHIBIT 8



US006565509B1

(12) **United States Patent**  
**Say et al.**

(10) **Patent No.:** **US 6,565,509 B1**  
(45) **Date of Patent:** **May 20, 2003**

(54) **ANALYTE MONITORING DEVICE AND METHODS OF USE**

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(List continued on next page.)

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(21) Appl. No.: **09/667,199**

(22) Filed: **Sep. 21, 2000**

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(52) U.S. Cl. .... **600/365; 600/347; 600/345**

(58) Field of Search ..... **600/365, 345, 600/346, 347, 354, 357, 366, 309**

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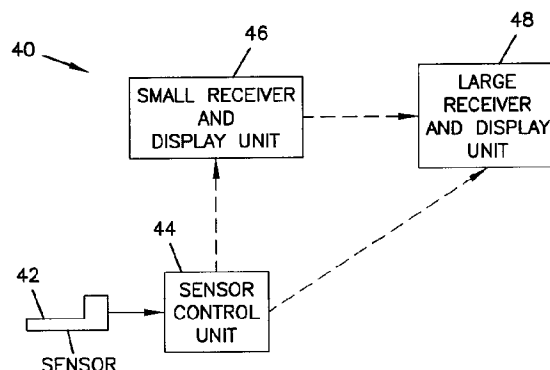
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(57) **ABSTRACT**

An analyte monitor includes a sensor, a sensor control unit, and a display unit. The sensor has, for example, a substrate, a recessed channel formed in the substrate, and conductive material disposed in the recessed channel to form a working electrode. The sensor control unit typically has a housing adapted for placement on skin and is adapted to receive a portion of an electrochemical sensor. The sensor control unit also includes two or more conductive contacts disposed on the housing and configured for coupling to two or more contact pads on the sensor. A transmitter is disposed in the housing and coupled to the plurality of conductive contacts for transmitting data obtained using the sensor. The display unit has a receiver for receiving data transmitted by the transmitter of the sensor control unit and a display coupled to the receiver for displaying an indication of a level of an analyte. The analyte monitor may also be part of a drug delivery system to alter the level of the analyte based on the data obtained using the sensor.

**56 Claims, 26 Drawing Sheets**



## US 6,565,509 B1

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# EXHIBIT 9

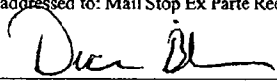
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Diane Blevins

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Reexamination of:

James SAY et al.

Patent No.: 6,565,509 B1

Issue Date: May 20, 2003

Issued To: TheraSense, Inc.

Examiner: To be assigned

REQUEST FOR REEXAMINATION UNDER 37 C.F.R. § 1.510

Mail Stop Ex Parte Reexam  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

On behalf of Dexcom, Inc. (hereafter "Requester"), the undersigned hereby submit under provisions of C.F.R. § 1.501 *et seq.*, a Request for Reexamination of claims 1-19, 24-26 and 35-38 of the above captioned U.S. Patent No. 6,565,509 to Say et al., entitled "ANALYTE MONITORING DEVICE AND METHODS OF USE," filed on September 21, 2000, and issued on May 20, 2003 (hereafter "the '509 patent"). The '509 patent was filed as a continuation of Application No. 09/070,677, filed April 30, 1998 (now U.S. 6,175,752). The '509 patent was



originally assigned to TheraSense, Inc. ("Patentee"). This Request for Reexamination is based upon the following prior art references:

(1) EP Patent Application No. 83106571.9 to Shichiri et al., entitled "Portable Artificial Pancreas," filed July 5, 1983, published January 18, 1984 as EP publication no. 0098592 A2, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 1 (hereinafter "Shichiri I");

(2) Shichiri et al., "Telemetry Glucose Monitoring Device With Needle-Type Glucose Sensor: A Useful Tool for Blood Glucose Monitoring in Diabetic Individuals," *Diabetes Care*, Vol. 9, No. 3, pp. 298-301, May-June 1986, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 2 (hereinafter "Shichiri II");

(3) Ko et al., (Ed.), "Implantable Sensors for Closed-Loop Prosthetic Systems," Mount Kisco, NY: Futura Publishing Company, Inc., 1985, Chapter 15, entitled "Needle-type Glucose Sensor for Wearable Artificial Endocrine Pancreas," pp. 197-210, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 3 (Shichiri III),

(4) U.S. Patent No. 5,569,186 to Lord et al., entitled "Closed Loop Infusion Pump System With Removable Glucose Sensor," filed April 25, 1994, issued October 29, 1996, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 4 (hereinafter "Lord I");

(5) U.S. Patent No. 4,494,950 to Fischell, entitled "Plural Module Medication Delivery System," filed January 19, 1982 and issued January 22, 1985, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 5 (hereinafter "Fischell");

(6) M. Shichiri et al., "Glycaemic Control in Pancreatectomized Dogs with a Wearable Artificial Endocrine Pancreas," *Diabetologia* (1983) 24:179-184, Springer-Verlag 1983, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 6 (hereinafter "Shichiri IV");

(7) U.S. Patent No. 5,390,671 to Lord et al., entitled "Transcutaneous Sensor Insertion Set," filed March 15, 1994, issued February 21, 1995, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 7 (hereinafter "Lord II");

(8) PCT Application No. PCT/US96/02006 to Cheney et al., entitled "Transcutaneous Sensor Insertion Set," filed February 14, 1996, claiming priority to U.S. application no. 08/393,159, published on August 22, 1996 as WO 96/25089, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 8 (hereinafter "Cheney");

(9) U.S. Patent No. 5,957,854 to Besson et al., entitled "Wireless Medical Diagnosis And Monitoring Equipment," filed December 5, 1997, claiming priority to PCT/EP94/02926, filed September 2, 1994 (now U.S. 5,862,803), citable under 35 U.S.C. §§ 102(a) and (e), and attached hereto as Exhibit 9 (hereinafter "Besson");

(10) U.S. Patent No. 6,219,574 to Cormier et al., entitled "Device And Method For Enhancing Transdermal Sampling," filed June 17, 1997, claiming priority to provisional application no. 60/019,990, filed June 18, 1996, issued on April 17, 2001, citable under 35 U.S.C. § 102(e), and attached hereto as Exhibit 10 (hereinafter "Cormier");

(11) U.S. Patent No. 4,703,756 to Gough et al., entitled "Complete Glucose Monitoring System With An Implantable Telemetered Sensor Module," filed May 6, 1986, issued November 3, 1987, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 11 (hereinafter "Gough");

(12) Stuart J. Updike et al., "Principles of Long-term Fully Implanted Sensors With Emphasis On Radiotelemetric Monitoring of Blood Glucose From Inside a Subcutaneous Foreign Body Capsule (FBC)," *Biosensors in the Body: Continuous in vivo Monitoring*, Edited by David M. Fraser, Chapter 4, pp. 117-137, April 16, 1997. John Wiley & Sons Ltd, citable under 35 U.S.C. §§ 102(a) and (b) and attached hereto as Exhibit 12 (hereinafter "Updike");

(13) Mark C. Shults et al., "A Telemetry-Instrumentation System for Monitoring Multiple Subcutaneously Implanted Glucose Sensors," *IEEE Transactions On Biomedical Engineering*, Vol. 41, No. 10, pp. 937-942, October 1994. © 1994 IEEE, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 13 (hereinafter "Shults");

(14) Brian D. McKean et al. "A Telemetry-Instrumentation System for Chronically Implanted Glucose and Oxygen Sensors," *IEEE Transactions On Biomedical Engineering*, Vol.

35, No. 7; © July 1988 IEEE, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 14 (hereinafter "McKean");

(15) Jon C. Armour et al., "Application of Chronic Intravascular Blood Glucose Sensor in Dogs," *Diabetes*, Vol. 39, December 1990, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 15 (hereinafter "Armour");

(16) Michael Thompson et al., "*In Vivo* Probes: Problems and Perspectives," *Clinical Biochemistry*, Vol. 19, October 1986, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 16 (hereinafter "Thompson"); and

(17) G. Velho et al., "Strategies for calibrating a subcutaneous glucose sensor," *Biomed. Biochim. Acta* 48 (presented at the International Workshop on Intracorporeal Glucose Sensors," September 27-30, 1988, Göhren-Lebbin, GDR), citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 17 (hereinafter "Velho").

Pursuant to 35 U.S.C. § 303, Requester submits that the above-listed prior art references raise "substantial new questions of patentability" with respect to at least claims 1-19, 24-26 and 35-38 of the '509 patent. As required by 37 C.F.R. § 1.510(b)(1), a statement pointing out each substantial new question of patentability is provided below for each identified claim for which reexamination is requested. Furthermore, a detailed explanation of the pertinence and manner of applying the cited patents and publications to each identified claim is provided pursuant to 37 C.F.R. § 1.510(b)(2). As required by 37 C.F.R. §§ 1.510(b)(3) and 1.510(b)(4), copies of the pertinent patents and publications relied upon, and a copy of the entire '509 patent including the front face, drawings, specification and claims (in double column format) are included. The fee for requesting reexamination under 37 C.F.R. 1.20(c) is also enclosed.

## **I. Introduction**

### **A. The '509 patent**

Generally, the '509 patent is directed to an analyte monitoring device that includes a transcutaneous electrochemical sensor, a sensor control unit and a display unit configured to receive radio frequency (rf) signals from the sensor control unit. The sensor control unit has a

housing adapted for placement on a patient's skin and adapted to receive a portion of an electrochemical sensor. The sensor control unit also includes two or more conductive contacts disposed on the housing and configured for coupling to two or more contact pads on the electrochemical sensor. A transmitter is disposed in the housing of the sensor control unit and coupled to the plurality of conductive contacts for transmitting data obtained by the electrochemical sensor. As described in further detail below, however, prior art devices and systems taught each of the various embodiments of the purported invention recited in the identified claims of the '509 patent for which reexamination is requested. Because the prior art references disclose and teach each and every element of the identified claims, each of these claims are anticipated and/or rendered obvious by the prior art references.

It is noted that in the context of reexamining patent claims, "the PTO must apply the broadest reasonable meaning to the claim language, taking into account any definitions presented in the specification. *In re Bass*, 314 F.3d 575, 577 (Fed. Cir. 2002) (citing *In re Yamamoto*, 740 F.2d 1569, 1571 (Fed. Cir. 1984). Giving claims their broadest reasonable construction "serves the public interest by reducing the possibility that claims, finally allowed, will be given broader scope than is justified." *In re Yamamoto*, 740 F.2d at 1571. "Construing claims broadly during prosecution is not unfair to the applicant (or, in this case, the patentee), because the applicant has the opportunity to amend the claims to obtain more precise claim coverage." *In re American Academy of Science Tech Center*, 367 F.3d 1359, 1363 (Fed. Cir. 2004) (citing *Yamamoto*, 740 F.2d at 1571-72).

While the meaning of claims of issued patents are interpreted in light of the specification, prosecution history, prior art and other claims, this is not the mode of claim interpretation to be applied during examination. During examination, the claims must be interpreted as broadly as their terms reasonably allow. MPEP § 2111.01 (emphasis in original). "The USPTO uses a different standard for construing claims than that used by district courts; during examination the USPTO must give claims their broadest reasonable interpretations." *Id.* (citing *In re American Academy of Science Tech Center*, *supra*). This means that the words of the claim must be given

Additionally, during prosecution of the corresponding European patent application no. EP 1 075 209 (hereinafter "EP 209"), the patentee admitted that certain features of its claimed sensor control unit were known in the prior art. EP 209 is a National Phase application based on PCT application no. PCT/US99/01229 (attached hereto as Exhibit 19), which in turn claims priority to U.S. application no. 09/070,677, now U.S. 6,175,752 ("the '752 patent"). The present '509 patent at issue is a continuation of the '752 patent.

The claims of the EP 209 application were added to replace the claims originally filed in PCT application no. PCT/US99/01229, from which EP 209 was based. (See Exhibit 20, Cover letter and Chart comparing new claims to originally pending PCT claims).

During prosecution of the EP 209 application, the European Patent Office ("EPO") rejected the following claim 1 of EP 209 for lack of novelty over D1 (Lord I):

1. A sensor control unit comprising:

a housing adapted for placement on skin and adapted to receive a portion of an electrochemical sensor extending out of the skin;

at least one conductive contact configured for contacting at least one contact pad disposed on the portion of an electrochemical sensor extending out of the skin; and

an rf transmitter disposed in the housing and coupled to the at least one conductive contact for transmitting data obtained using the electrochemical sensor.

(Exhibit 21, EPO December 20, 2004 Office Action at page 1).

The EPO further required the applicant to draft claims in two part form "with those features known in combination from the prior art being placed in the preamble . . . and with the remaining features being included in a characterising part" in accordance with Rule 29(1) EPC. (*Id.* at page 2).

In response to the EPO's prior art rejection, the EP 209 applicant amended claim 1 according to the EPO's two-part requirement as follows:

1. A sensor control unit (44, 254) comprising:



The above plain meaning of “transcutaneous” is also consistent with the specification of the ‘509 patent, which describes a transcutaneous sensor 42 having a distal end 67 that is subcutaneously implanted into the skin of a patient and a proximal end 65 which extends out of the skin of the patient to facilitate electrical connection between sensor electrodes 58 and 60 and corresponding contacts on a control unit 44. The sensor 42 is formed on a substrate 50 and sensor electrodes 58 and 60 are formed at the distal end 67 of the sensor 42 by conductive traces 52 applied or embedded in the substrate 50. The conductive traces 52 extend from the distal end 67 to the proximal end 65, where contact pads 49 on the substrate 50 provide electrical contact between the traces 52 and conductive contacts of the sensor control unit 44. (See, e.g., ‘509 patent, col. 9, lines 4-33, Figure 2; and col. 14, lines 14-27, Figure 1).

Thus, the ‘509 patent describes a transcutaneous sensor 42 having subcutaneously implanted electrodes 58 and 60 and conductive traces 52 formed on a substrate 50 that pass through and out of a patient’s skin to provide electrical connection, via contact pads 49 formed on the substrate, between the electrodes 58 and 60 and conductive contacts of an external sensor control unit 44. Therefore, it is clear from the ‘509 patent that one or more transcutaneous electrical conductors (i.e., conductive traces 52), which provide electrical connection to the subcutaneously implanted electrodes 58 and 60, are part of the sensor 42.

The ‘509 patent defines “non-leachable” as “affixed on the sensor such that it does not substantially diffuse away from the working surface of the working electrode for the period in which the sensor is used (e.g., the period in which the sensor is implanted in a patient or measuring a sample).” (The ‘509 patent, at col. 5:66-6:5).

**b) Shichiri I discloses a transcutaneous electrochemical sensor having a nonleachable glucose sensing enzyme**

Shichiri I discloses an “electrode means 13 . . . adapted to measure blood glucose concentrations based on current resulting from electrolysis of hydrogen peroxide which is produced from *glucose in the presence of glucose oxidase serving as a catalyst*.” (p. 8, lines 19-23). Shichiri I further discloses that its “electrode means 13 comprises a platinum electrode

(anode) 34 and a silver electrode (cathode) 35 . . . with an *immobilized glucose oxidase membrane 41*" laminated to the outer surface of a hydrophilic semipermeable membrane 40, which in turn is laminated to the front end 36 of the platinum electrode 34. (p. 9, lines 1-18; Fig. 3).

"The platinum electrode 34 is inserted in a stainless steel cylinder 38 having the silver electrode 35 formed over its outer surface. . . . The platinum electrode 34 and the cylinder 38 are *connected, at their rear ends, to insulated lead wires 43 and 44*, respectively. The measuring electrode means 13 is attached to the forward end of a catheter 45 to be lodged *in vivo* and is inserted into the blood vessel or *beneath the skin*. The signal from the electrode means 13 is fed to the polarography electric circuit 14 through the catheter 45. (p. 9, lines 5 to p. 10, line 3; Fig. 3) (emphasis added).

Based on the foregoing description, it is clear that Shichiri I discloses a sensor assembly comprising a subcutaneously implantable electrode 13 connected to conductive lead wires 43 and 44, which extend transcutaneously out of the patient's skin to make electrical contact with external circuitry 14 configured to receive and process the signals from the electrode 13. As discussed above, the '509 patent makes it clear that an electric connection, *e.g.*, a conductive trace, is considered part of the sensor. Accordingly, the conductive lead wires 43 and 44 are part of the sensor disclosed in Shichiri I.

The '509 patent defines non-leachable as follows:

A "non-leachable" or "non-releasable" compound or a compound that is "non-leachably disposed" is meant to define a compound that is affixed on the sensor such that it does not substantially diffuse away from the working surface of the working electrode for the period in which the sensor is used (*e.g.*, the period in which the sensor is implanted in a patient or measuring a sample).

(The '509 patent at col. 5:59-65).

In accordance with the definition above, Shichiri I discloses a "nonleachable glucose sensing enzyme." Shichiri I discloses that an glucose oxidase can be immobilized on a cellulose acetate film laminated to the surface of the front end 36 of the platinum electrode 34. (See

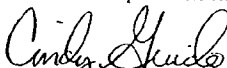
# EXHIBIT 10

PATENT  
Docket No. 518852800100

+CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EV 743883015 US Date of Deposit: February 1, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Mail Stop Ex Parte Reexam, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Cindy Guido

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Reexamination of:

James Say et al.

Patent No.: 6,175,752 B1

Issue Date: January 16, 2001

Issued To: TheraSense, Inc. (at issuance)

Examiner: To be assigned

REQUEST FOR REEXAMINATION UNDER 37 C.F.R. § 1.510

Mail Stop Ex Parte Reexam  
Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

On behalf of Dexcom, Inc. (hereafter "Requester"), the undersigned hereby submit under provisions of C.F.R. § 1.501 *et seq.*, a Request for Reexamination of claims 1-12, 14-35, 38-40, 42-45, 49-51, 53, 54, 58-65, 74-86, 88-90 and 92-94 of the above captioned U.S. Patent No. 6,175,752 to Say et al., entitled "ANALYTE MONITORING DEVICE AND METHODS OF USE," filed on April 30, 1998, and issued on January 16, 2001 (hereafter "the '752 patent"). The

Docket No. 518852800100

'752 patent was originally assigned to TheraSense, Inc. ("Patentee"). This Request for Reexamination is based upon the following prior art references:

- (1) EP Patent Application No. 83106571.9 to Shichiri et al., entitled "Portable Artificial Pancreas," filed July 5, 1983, published January 18, 1984 as EP publication no. 0098592 A2, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 1 (hereinafter "Shichiri I");
- (2) Shichiri et al., "Telemetry Glucose Monitoring Device With Needle-Type Glucose Sensor: A Useful Tool for Blood Glucose Monitoring in Diabetic Individuals," *Diabetes Care*, Vol. 9, No. 3, pp. 298-301, May-June 1986, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 2 (hereinafter "Shichiri II");
- (3) Ko et al., (Ed.), "Implantable Sensors for Closed-Loop Prosthetic Systems," Mount Kisco, NY: Futura Publishing Company, Inc., 1985, Chapter 15, entitled "Needle-type Glucose Sensor for Wearable Artificial Endocrine Pancreas," pp. 197-210, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 3 (Shichiri III),
- (4) U.S. Patent No. 5,569,186 to Lord et al., entitled "Closed Loop Infusion Pump System With Removable Glucose Sensor," filed April 25, 1994, issued October 29, 1996, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 4 (hereinafter "Lord I");
- (5) U.S. Patent No. 4,494,950 to Fischell, entitled "Plural Module Medication Delivery System," filed January 19, 1982 and issued January 22, 1985, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 5 (hereinafter "Fischell");
- (6) U.S. Patent No. 5,390,671 to Lord et al., entitled "Transcutaneous Sensor Insertion Set," filed March 15, 1994, issued February 21, 1995, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 6 (hereinafter "Lord II");



Docket No. 518852800100

(7) PCT Application No. PCT/US96/02006 to Cheney et al., entitled "Transcutaneous Sensor Insertion Set," filed February 14, 1996, claiming priority to U.S. application no. 08/393,159, published on August 22, 1996 as WO 96/25089, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 7 (hereinafter "Cheney");

(8) U.S. Patent No. 5,957,854 to Besson et al., entitled "Wireless Medical Diagnosis And Monitoring Equipment," filed December 5, 1997, claiming priority to PCT/EP94/02926, filed September 2, 1994 (now U.S. 5,862,803), citable under 35 U.S.C. §§ 102(a) and (e), and attached hereto as Exhibit 8 (hereinafter "Besson");

(9) U.S. Patent No. 6,219,574 to Cormier et al., entitled "Device And Method For Enhancing Transdermal Sampling," filed June 17, 1997, claiming priority to provisional application no. 60/019,990, filed June 18, 1996, issued on April 17, 2001, citable under 35 U.S.C. § 102(e), and attached hereto as Exhibit 9 (hereinafter "Cormier");

(10) U.S. Patent No. 4,703,756 to Gough et al., entitled "Complete Glucose Monitoring System With An Implantable Telemetered Sensor Module," filed May 6, 1986, issued November 3, 1987, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 10 (hereinafter "Gough");

(11) Stuart J. Updike et al., "Principles of Long-term Fully Implanted Sensors With Emphasis On Radiotelemetric Monitoring of Blood Glucose From Inside a Subcutaneous Foreign Body Capsule (FBC)," *Biosensors in the Body: Continuous in vivo Monitoring*, Chapter 4, pp. 117-137. © 1997 John Wiley & Sons Ltd, citable under 35 U.S.C. §§ 102(a) and (b) and attached hereto as Exhibit 11 (hereinafter "Updike");

(12) Mark C. Shults et al., "A Telemetry-Instrumentation System for Monitoring Multiple Subcutaneously Implanted Glucose Sensors," *IEEE Transactions On Biomedical Engineering*, Vol. 41, No. 10, pp. 937-942, October 1994. © 1994 IEEE, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 12 (hereinafter "Shults");

Docket No. 518852800100

(13) Brian D. McKean et al. "A Telemetry-Instrumentation System for Chronically Implanted Glucose and Oxygen Sensors," IEEE Transactions On Biomedical Engineering, Vol. 35, No. 7, © July 1988 IEEE, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 13 (hereinafter "McKean");

(14) Jon C. Armour et al., "Application of Chronic Intravascular Blood Glucose Sensor in Dogs," Diabetes, Vol. 39, December 1990, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 14 (hereinafter "Armour");

(15) Michael Thompson et al., "*In Vivo* Probes: Problems and Perspectives," Clinical Biochemistry, Vol. 19, October 1986, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 15 (hereinafter "Thompson");

(16) G. Velho et al., "Strategies for calibrating a subcutaneous glucose sensor," Biomed. Biochim. Acta 48 (1989) (presented at the International Workshop on Intracorporal Glucose Sensors," September 27-30, 1988, Göhren-Lebbin, GDR), citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 16 (hereinafter "Velho");

(17) European Patent Application No. 90302932.0 to Skotheim et al., entitled "Electrochemical biosensor based on immobilized enzymes and redox polymers," filed on March 19, 1990, published as EP 0 390 390 A1 on October 3, 1990, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 17 (hereinafter "Skotheim");

(18) U.S. Patent No. 4,538,616 to Rogoff entitled "Blood Sugar Level Sensing and Monitoring Transducer," filed on July 25, 1983, issued on September 3, 1985, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 18 (hereinafter "Rogoff").

Pursuant to 35 U.S.C. § 303, Requester submits that the above-listed prior art references raise "substantial new questions of patentability" with respect to at least claims 1-12, 14-35, 38-40, 42-45, 49-51, 53, 54, 58-65, 74-86, 88-90 and 92-94 of the '752 patent. As required by 37 C.F.R. § 1.510(b)(1), a statement pointing out each substantial new question of patentability is

Docket No. 518852800100

provided below for each identified claim for which reexamination is requested. Furthermore, a detailed explanation of the pertinence and manner of applying the cited patents and publications to each identified claim is provided pursuant to 37 C.F.R. § 1.510(b)(2). As required by 37 C.F.R. §§ 1.510(b)(3) and 1.510(b)(4), copies of the pertinent patents and publications relied upon, and a copy of the entire '752 patent including the front face, drawings, specification and claims (in double column format) are included. The fee for requesting reexamination under 37 C.F.R. 1.20(c) is also enclosed.

### **I. Introduction**

Generally, the '752 patent is directed to an analyte monitoring device that includes a transcutaneous electrochemical sensor, a sensor control unit and a display unit configured to receive radio frequency (radio) signals from the sensor control unit. The sensor control unit has a housing adapted for placement on a patient's skin and adapted to receive a portion of an electrochemical sensor. The sensor control unit also includes two or more conductive contacts disposed on the housing and configured for coupling to two or more contact pads on the electrochemical sensor. A transmitter is disposed in the housing of the sensor control unit and coupled to the plurality of conductive contacts for transmitting data obtained by the electrochemical sensor. As described in further detail below, however, prior art devices and systems taught each of the various embodiments of the purported invention recited in the identified claims of the '752 patent for which reexamination is requested. Because the prior art references disclose and teach each and every element of the identified claims, each of these claims are anticipated and/or rendered obvious by the prior art references.

It is noted that in the context of reexamining patent claims, "the PTO must apply the broadest reasonable meaning to the claim language, taking into account any definitions presented in the specification. *In re Bass*, 314 F.3d 575, 577 (Fed. Cir. 2002) (citing *In re Yamamoto*, 740 F.2d 1569, 1571 (Fed. Cir. 1984). Giving claims their broadest reasonable construction "serves

# EXHIBIT 11

Docket No. 518852800300

Patent No. 6,329,161

(1) M. Sakakida, et al., "Ferrocene-mediated Needle-type Glucose Sensor Covered with Newly Designed Biocompatible Membrane," *Sensors and Actuators B*, vol. 13-14, 319-322 (1993), published as the May-June 1993 volume, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 1 (hereinafter "Sakakida");

(2) M. Shichiri, et al., "Needle-type Glucose Sensor for Wearable Artificial Endocrine Pancreas," Chapter 15 in *IMPLANTABLE SENSORS FOR CLOSED-LOOP PROSTHETIC SYSTEMS*, W. H. Ko, ed., Futura Publishing Co., Mount Kisco, NY (1985), citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 2 (hereinafter "Shichiri");

(3) G. S. Wilson, et al., "Progress Toward the Development of an Implantable Glucose Sensor," G. S. Wilson, et al., *Clinical Chemistry*, vol. 38(9), 1613-17 (1992), citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 3 (hereinafter "Wilson Clinical Chemistry");

(4) U.S. Patent No. 5,165,407 to Wilson, et al., entitled "Implantable Glucose Sensor," filed on April 9, 1991, issued on November 24, 1992, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 4 (hereinafter "Wilson '407 patent");

(5) U.S. Patent No. 5,322,063 to Allen, et al., entitled "Hydrophilic Polyurethane Membranes for Electrochemical Glucose Sensors," filed on October 4, 1991, issued on June 21, 1994, citable under 35 U.S.C. § 35 U.S.C. §§ 102(a), (b), and (e), and attached hereto as Exhibit 5 (hereinafter "Allen");

(6) Shichiri et al., "Membrane Design for Extending the Long Life of an Implantable Glucose Sensor," *Diab. Nutr. Metab.*, vol. 2(4), 309-313 (1989), published in 1989, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 6 (hereinafter "Shichiri '89");

(7) Johnson, et al., "Techniques to Improve the Performance of Electrochemical Sensors," U.S. Patent No. 5,411,647; issued on May 2, 1995, filed on January 25, 1994 as a

Docket No. 518852800300  
Patent No. 6,329,161

continuation of Ser. No. 980,465, filed on November 23, 1992, now abandoned; citable under 35 U.S.C. §§ 102(a) and (e), and attached hereto as Exhibit 7 (hereinafter "Johnson"); and

(8) Wilkins, "In Vivo Refillable Glucose Sensor," U.S. Patent No. 4,986,271, filed July 19, 1989 and issued January 22, 1991, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 8 (hereinafter "Wilkins").

Pursuant to 35 U.S.C. § 303, Requester submits that the above-listed prior art references raise "substantial new questions of patentability" with respect to at least claims 1-10, 16-20, 22, 25-43, 47 and 48 of the '161 patent. As required by 37 C.F.R. § 1.510(b)(1), a statement pointing out each substantial new question of patentability is provided below for each claim for which reexamination is requested. Furthermore, a detailed explanation of the pertinence and manner of applying the cited patents and publications to each identified claim is provided pursuant to 37 C.F.R. § 1.510(b)(2). As required by 37 C.F.R. §§ 1.510(b)(3) and 1.510(b)(4), copies of the pertinent patents and publications relied upon, and a copy of the entire '161 patent including the front face, drawings, and specification/claims (in double column format) are included. The fee for requesting reexamination under 37 C.F.R. 1.20(c) is also enclosed.

## **I. Introduction**

### **A. The '161 Patent in the *Abbott v. DexCom* Litigation**

The '161 patent is presently involved in litigation. Therefore, Requester and the undersigned respectfully request that the present reexamination proceeding be conducted with "special dispatch" as having priority over all other cases pursuant to 35 U.S.C. § 305 and MPEP § 2261.

On August 11, 2005, Abbott Diabetes Care, Inc. filed a Complaint (Exhibit 9) against DexCom, Inc. in the United States District Court for the District of Delaware. Abbott's



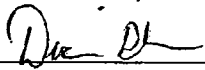
# EXHIBIT 12

PATENT  
Docket No. 518852800200

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EV 761644961 US Date of Deposit: February 1, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Mail Stop Ex Parte Reexam, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Diane Blivins

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Reexamination of:

Adam Heller, et al.

Patent No.: 6,284,478 B1

Issue Date: September 4, 2001

Assignee: TheraSense, Inc. (at issuance)

Examiner: To be assigned

REQUEST FOR REEXAMINATION UNDER 37 C.F.R. § 1.510

Mail Stop Ex Parte Reexam  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

On behalf of DexCom, Inc. (hereafter "Requester"), the undersigned hereby submit under provisions of C.F.R. §§ 1.501, *et seq.*, a Request for Reexamination of claims 1-3, 9, 13, 16, 18-20, 23, 24, 26-29, 32, 33, 35, 52-57, 59, 61 and 73 of above captioned U.S. Patent No. 6,284,478 to Heller, et al., entitled "SUBCUTANEOUS GLUCOSE ELECTRODE," filed on December 4, 1996, and issued on September 4, 2001 (hereinafter "'478 patent"). The '478 patent was assigned to TheraSense, Inc. ("TheraSense") at issuance and is currently assigned to Abbott Laboratories.

Docket No. 518852800200

Patent No. 6,284,478

This Request for Reexamination is based upon the following prior art references:

(1) M. Sakakida, *et al.*, "Ferrocene-mediated Needle-type Glucose Sensor Covered with Newly Designed Biocompatible Membrane," *Sensors and Actuators B*, vol. 13-14, 319-322 (1993), published as the May-June 1993 volume, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 1 (hereinafter "Sakakida");

(2) Sakakida, *et al.*, *Artif. Organs Today*, 2(2):145-158 (1992), published in 1992, citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 2 (hereinafter "Sakakida '92");

(3) Shichiri, *et al.*, "Membrane Design for Extending the Long-Life of an Implantable Glucose Sensor," *Diab. Nutr. Metab.*, 2:309-313 (1989), citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 3 (hereinafter "Shichiri '89");

(4) R. Sternberg, *et al.*, "Study and Development of Multilayer Needle-type Enzyme-based Glucose Microsensors," *Biosensors* vol. 4, 27-40 (1988), citable under 35 U.S.C. §§ 102(a) and (b), and attached hereto as Exhibit 4 (hereinafter "Sternberg"); and

(5) Wilkins, "In Vivo Refillable Glucose Sensor," U.S. Patent No. 4,986,271, filed July 19, 1989 and issued January 22, 1991, citable under 35 U.S.C. §§ 102(a), (b) and (e), and attached hereto as Exhibit 5 (hereinafter "Wilkins").

Pursuant to 35 U.S.C. § 303, Requester submits that the above-listed prior art references raise "substantial new questions of patentability" with respect to at least claims 1-3, 9, 13, 16, 18-20, 23, 24, 26-29, 32, 33, 35, 52-57, 59, 61 and 73 of the '478 patent. As required by 37 C.F.R. § 1.510(b)(1), a statement pointing out each substantial new question of patentability is provided below for each claim for which reexamination is requested. Furthermore, a detailed explanation of the pertinence and manner of applying the cited patents and publications to each identified claim is provided pursuant to 37 C.F.R. § 1.510(b)(2). As required by 37 C.F.R. §§ 1.510(b)(3) and 1.510(b)(4), copies of the pertinent patents and publications relied upon, and

# EXHIBIT 13

FILED UNDER SEAL